RESET

AMP A little help

Scheme Transfer Request Form

Please send this completed form and any supporting documents to:

Email: **investments@amp.co.nz**

or

AMP Services (NZ) Limited
Freepost 170, PO Box 55
Shortland Street, Auckland 1140

If you have any questions please contact your Adviser or call us on 0800 267 111.

Use this form if you would like to transfer from an existing AMP investment scheme into either AMP Managed Funds, AMP Investment Trust (AIT), WealthView, New Zealand Retirement Trust (NZRT) or the AMP KiwiSaver Scheme. A new application form is also required for transfers to AIT; and for transfers to the AMP KiwiSaver Scheme or AMP Managed Funds, where you are not already invested in these schemes. For transfers into a WealthView account, your Adviser will inform you if a new application form is required.

Important information:

For details about the investment scheme you propose to invest in you should refer to the relevant AMP Product Disclosure Statement and Fund Update Booklet (if applicable), which contains the appropriate application form, or the relevant WealthView disclosure documents. These can be obtained from your Adviser, or where these are available on **amp.co.nz/forms**.

- For transfers from a registered AMP superannuation scheme, the approval of your transfer is at the Manager's discretion.
- Transfers may be subject to an early withdrawal fee. The level of the fee charged is at the Manager's discretion.
- If approved, any transfer of benefits from the Limited Access funds prior to maturity will be determined using the cash value factor.

This form can be completed on-screen by typing content directly into the PDF document. Once you have completed your details, print, sign and send the form and any supporting documents to the address above.

*These fields must be completed

(a) Details – to be completed by the owner(s) of the current investment

*AMP Investment Scheme Number

*AMP Investment Scheme Name

Principal account holder/contact person

Title

☐ Mr ☐ Mrs ☐ Ms ☐ Miss ☐ Dr ☐ Other legal entity (e.g. Family Trust, Company etc)

*Date of birth

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*Full name of other legal entity

*Position of other legal entity representative

*First names

*Surname

*Postal address

Postcode

*Best contact phone number

( )

*Personal email address

*IRD number (if you have an 8 digit IRD# leave the first box blank)

IRD number

(**Please note**: We cannot process your application until we receive your IRD number.)

Prescribed Investor Rate (PIR)

☐ 10.5% ☐ 17.5% ☐ 28%

To help determine your PIR, go to **amp.co.nz/PIE** or **ird.govt.nz**. If a PIR is not selected or you supply an incorrect IRD number, the default rate of 28% will apply. Inland Revenue may also instruct AMP to apply a different PIR.

Secondary account holder/contact person (if applicable)

Title

☐ Mr ☐ Mrs ☐ Ms ☐ Miss ☐ Dr ☐ Other

Date of birth

D D M M Y Y Y Y

First names

Surname

Postal address

Postcode

Best contact phone number

( )

Personal email address

IRD number (if you have an 8 digit IRD# leave the first box blank)

IRD number

(**Please note**: We cannot process your application until we receive your IRD number.)

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Transfer instructions

*I/we wish to transfer into the following AMP investment scheme(s):

Member/Plan/Scheme number	**Investment scheme type** e.g. AMP Managed Funds	**Fund name** State "ALL" or list funds e.g. AMP Balanced Fund	**Amount** e.g. dollar amount or balance

Transfers to AMP Managed Funds

For any transfers to AMP Managed Funds, the AMP Managed Funds account must be open before we can make the transfer.

Transfers to an existing AIT account

Please also complete an AIT Additional Contributions Form.

Transfers to WealthView

Complete only where transferring from AIT to WealthView.

The transfer to WealthView is to be by way of: Cash ☐ Units ☐

For a 'Cash' transfer all units/investments in AIT will be sold and the cash proceeds transferred to WealthView.

- You cannot transfer the full amount of a locked in superannuation scheme (PRP, PSS) to WealthView.
- You can transfer 20% of a locked in PRP or PSS to WealthView, if you have not already made a 20% withdrawal in the calendar year.

For joint account transfers

Joint account transfers are available for AIT, WealthView, Personal Retirement Plan (PRP) and Personal Superannuation Scheme (PSS). PRP and PSS are available to existing members only.

(b) Your declaration (complete or tick the appropriate boxes below)

1. Complete only where the investment selections have been made with advice from an Adviser:

☐ I/We acknowledge that I/we have received a letter or report from:

Adviser name

Adviser number

Adviser business

This is dated

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and is an accurate and complete record of the advice and recommendations given to me/us by my/our Adviser.

☐ I/We have read and understood the advice and recommendations given by my/our Adviser in the above letter/report. The report includes and explains the details of AIT, PRP, PSS, AMP Managed Funds, NZRT, WealthView and AMP KiwiSaver Scheme. The report includes and explains the details of the relevant scheme's lock-in provision (where applicable), and explains the remuneration and fees (entry, exit, annual management) that my/our Adviser will receive from this transfer and how they differ from my/our existing investments.

☐ I/We am/are aware that my/our Adviser does not give advice on tax and accordingly my/our individual tax situation had not been taken into account in any comparisons between my/our existing AMP investment scheme and AIT, PRP, PSS, AMP Managed Funds, NZRT, WealthView or AMP KiwiSaver Scheme and that I/we have been advised to take independent tax advice.

Continued on the next page

(b) *Your declaration – *continued* (complete or tick the appropriate boxes below)

☐ I/We have been advised of the fees and/or commission associated with the transfer into AIT, PRP, PSS, AMP Managed Funds, WealthView, NZRT and AMP KiwiSaver Scheme and I/we confirm that I/we wish to transfer from my/our existing investment because of the additional benefits that I/we may derive from the investment strategy recommended by my/our Adviser.

☐ I/We understand that, where I/we am/are not required to complete a new application form, the funds being transferred will be invested as per my/our current fund selection except where a fund selection is set out in this form under Transfer Instructions.

(**Please note:** Please ensure your Adviser completes the Adviser Information section of this form.)

2. Complete only where investment selections have been made without advice from an Adviser:

☐ I/We have made my/our own investment selection without any reference to investment scheme advice from an Adviser. I/we accept that neither an Adviser nor any member of the AMP Group can be held responsible for whether or not the investments placed are appropriate for my/our needs or circumstances.

☐ I/We understand that, where I/we am/are not required to complete a new application form, the funds being transferred will be invested as per my/our current fund selection except where a fund selection is set out in this form under Transfer Instructions.

3. Identification requirements

☐ I/We acknowledge that from time to time, and in accordance with the procedures AMP has in place to comply with the Anti-Money Laundering and Counter Financing of Terrorism Act of 2009, that I/we may be asked to provide additional or updated information.

Signed (where a Trust, Partnership or Company the name of the authorised signatory(ies) and title(s) must be supplied)

Principal account holder/contact person

Name and title

Date
D D M M Y Y Y Y

Secondary account holder/contact person

Name and title

Date
D D M M Y Y Y Y

Please submit this form together with any supporting documentation (a new application form is required for transfers to AIT or where you do not have an existing AMP KiwiSaver Scheme, AMP Managed Funds, NZRT or WealthView account).

(c) For Adviser use only

Adviser name
B L O C K L E T T E R S

Adviser code

Adviser's business name

I certify that I have completed the most recent training provided by AMP for this product, and have complied with the requirements of the Financial Markets Conduct Act 2013, and all other applicable laws.

Signature of Adviser
SIGN HERE

Date
D D M M 2 0 Y Y

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